

Fidante Partners Liquid Strategies ICAV (the Company)

Application Form

Mailing Address C/o State Street Fund Services Ireland Ltd Transfer Agency Department 78 Sir John Rogerson's Quay Dublin 2 D02 HD32 Ireland Tel: + 353 1 242 5590	<input type="checkbox"/> Please tick here if this has already been sent by facsimile transmission.
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Instructions

For initial subscriptions for Shares in the Company you must complete the Application Form and post it to the address above. Completed Application Forms may also be sent by fax with the original Forms and the supporting documentation required for Anti-Money Laundering purposes to follow by post immediately thereafter.

Failure to provide the original Application Form along with the documentation required for Anti-Money Laundering purposes may result in the deduction of tax due to the Irish Finance Act requirements outlined in the Anti-Money Laundering section and/or a delay in the acceptance and/or payment of a transfer/redemption request.

Subsequent subscriptions may be made in writing or by facsimile stating your registration details and the amount to be invested.

Non Retail Accounts - An authorised signatory list must be provided at the time of account opening for the investing entity in whose name the account is being opened. The Application Form must be signed in accordance with the signing mandate outlined in the authorised signatory list.

Any future changes to the original account details must be received in original format and signed in accordance with the signing mandate outlined in the authorised signatory list, in the case of a company, on corporate letterhead, and in the case of retail applicants, all account signatories must authorise every instruction.

Words and expressions defined in the Prospectus of the Company or the Supplement of the relevant Fund shall, unless the content otherwise requires have the same meaning when used in this Application Form.

Subscription Information

Please pay subscription monies to the following account(s)

	EURO	US Dollars	Sterling
Correspondent Bank	Bank of America Europe DAC	Bank of America N.A.	Bank of America N.A.
SWIFT Code	BOFAIE3X	BOFAUS3N	BOFAGB22
Beneficiary Bank	Bank of America Europe DAC	Bank of America N.A.	Bank of America N.A.
Sort Code			165050
Account Number	602261301019	6550363190	600873474018
Beneficiary Name	Fidante Partners Liquid Strategies ICAV EUR	Fidante Partners Liquid Strategies ICAV USD	Fidante Partners Liquid Strategies ICAV GBP
IBAN Number	IE04 BOFA 9900 6161 3010 19		GB95 BOFA 1650 5073 4740 18
Reference	Name of Fund – Applicant	Name of Fund – Applicant	Name of Fund – Applicant

Please ensure that your bank quotes the details above in the electronic funds transfer to the appropriate bank.

Subscription monies should be received by wire transfer in cleared funds by the relevant Settlement Date as set out in the relevant Supplement in the currency of the relevant Shares. The Administrator may, at its discretion, accept payment in other currencies, but such payments will be converted into the currency of the relevant Share class at the then prevailing exchange rate and any conversion expenses shall be borne by the Shareholder. This may result in a delay in processing the application.

DETAILS OF EXPECTED INVESTMENT (please complete this section)

Please tick one of the following boxes to confirm whether you invest for your own account or on behalf of another person

<u>Level of expected total investment:</u>	
Ranging between a currency equivalent to EUR 0 to EUR15K	<input type="checkbox"/>
Ranging between a currency equivalent to EUR 15K to EUR100K	<input type="checkbox"/>
Ranging between a currency equivalent to EUR 100K to EUR1M	<input type="checkbox"/>
Ranging between a currency equivalent to EUR 1M to EUR100M	<input type="checkbox"/>
Superior to a currency equivalent to EUR 100M	<input type="checkbox"/>
<u>Declaration of investment:</u>	
Investing on my/our own behalf (please tick the box if applicable)	<input type="checkbox"/>
Investing on behalf of a Third Party Disclosed in the Investor Name	<input type="checkbox"/>
Investing on behalf of several non-disclosed Third Parties (omnibus/pooled accounts)	<input type="checkbox"/>

Details of Investment				
The Applicant, having received and read a copy of the Prospectus (including any relevant Fund supplement) of the Company hereby applies to invest in the Company, as indicated in the table below:				
Fund Name	Share Class	Currency	Number of Shares	Value of Subscription

Should you have any questions, please contact the Administrator, State Street Fund Services (Ireland) Limited, 78 Sir John Rogersons Quay, Dublin 2, Ireland. Telephone: + 353 1 242 5590; Facsimile: + 353 1 562 5556; Email: FidanteTA@statestreet.com

Account Registration Details	
Registered Name	
Account Designation <i>(if any)</i>	
Shareholder Type ¹	
Occupation <i>(if individual)</i> or <i>Nature and purpose of business activity (if entity)</i>	
Source of funds for initial investment (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Gift <input type="checkbox"/> Sale of Business <input type="checkbox"/> Salary <input type="checkbox"/> Pension <input type="checkbox"/> Investment income <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Lottery <input type="checkbox"/> Other (please specify)
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>	

Source of wealth, ie aggregation of accumulated wealth (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income
Registered Address <i>PO or C/O will not be accepted</i>			
Mailing Address (if different)	<p>If you would like to receive "Statement of Holdings" and "Statement of Transactions" via SWIFT Message (ISO 15022) for your registered account, please provide;</p> <p>BIC Code:</p> <p>Statement Required : Statement of Holdings (MT535) / Statement of Transactions (MT536) / Both</p> <p>Statement Frequency : Daily / Monthly</p>		
Contact Name			
Contact Details	Telephone	Facsimile	Email

Authorised Signatories

The following persons are approved to place trades on this account. Any changes to the details on this Application Form can only be accepted if made in writing by the authorised persons below:

Name	Signature	Date

Please indicate the number of approved persons required to place trades or make changes to the account: 1 2

¹: e.g. (i) individual (ii) joint account, (iii) publicly-listed company, (iv) state-owned entity, (v) pension fund, (vi) regulated credit and financial institution, (vii) nominee company / intermediary company, (viii) private and unlisted companies, (ix) partnerships, (x) collective investment schemes, (xi) trusts, foundations and similar entities, (xii) charities, (xiii) clubs & societies, (xiv) places of worship, (xv) other (please specify)

If you require further authorised signatories, please provide details on a separate page.

Joint Applicant(s)

Details of up to 3 additional holders may be added to the application. Please complete details in block capitals below.

First additional applicant details			
Registered Name			
Occupation (if individual or joint account) or Nature of purpose of entity (if entity)			
Source of funds for initial investment (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income
	<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>		
Source of wealth, ie aggregation of accumulated wealth (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income
	<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>		
Registered Address <i>PO or C/O will not be accepted</i>			
Contact Name			
Contact Details	Telephone	Facsimile	Email

Second additional applicant details			
Registered Name			
Occupation (if individual or joint account) or Nature of purpose of entity (if entity)			
Source of funds for initial investment (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>			
Source of wealth, ie aggregation of accumulated wealth (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>			
Registered Address <i>PO or C/O will not be accepted</i>			
Contact Name			
Contact Details	Telephone	Facsimile	Email

Third additional applicant details			
Registered Name			
Occupation (if individual or joint account) or Nature of purpose of entity (if entity)			
Source of funds for initial investment (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>			
Source of wealth, ie aggregation of accumulated wealth (please tick multiple boxes if appropriate)	<input type="checkbox"/> Inheritance <input type="checkbox"/> Salary <input type="checkbox"/> Sale of assets (please specify) <input type="checkbox"/> Other (please specify)	<input type="checkbox"/> Gift <input type="checkbox"/> Pension <input type="checkbox"/> Lottery	<input type="checkbox"/> Sale of Business <input type="checkbox"/> Investment income
<i>Further supporting documentation may be requested if deemed necessary to verify the above information.</i>			
Registered Address <i>PO or C/O will not be accepted</i>			
Contact Name			
Contact Details	Telephone	Facsimile	Email

** Correspondence will only be sent to the first named applicant/correspondence address. Additional applicants will be required to provide confirmation of residential address details for anti-money laundering verification purposes.

In the case of joint accounts, instructions will only be made upon receipt of instruction duly signed by all applicants.

Intermediary Details (if applicable)			
Broker ID		Branch ID	
Broker Name			
Broker Address			
Representative Name		Representative ID	
Contact Details	Telephone	Facsimile	Email

My Treasury / Sungard (Please tick if applicable)
<input type="checkbox"/> *I/ *We provide authorisation for account information to be shared with the *My Treasury / *Sungard platform where required. *Please delete as appropriate.

Bank Account Details for Redemption and Distribution Payments	
<p>Please list the details of the account to which redemption proceeds, and/or dividend distributions should be paid. Payments will only be made to a bank account held in the name of the registered shareholder and in the respective currency account. No Third Party Payments will be made. Redemptions will not be processed on non cleared/verified accounts.</p> <p><u>Both</u> IBANS & SWIFT (BIC) Codes should be quoted for all banks within the EU/EEA.</p> <p>Amendments to investors' payment instructions will only be effected upon receipt of an original instruction which has been duly authorised. In the case of joint accounts, instructions will only be made upon receipt of instruction duly signed by all applicants.</p> <p>The Administrator does not accept any responsibility for the bank account details quoted and any payments made using these details will be at your risk.</p>	
Correspondent Bank Name	
Correspondent Bank Address	
Correspondent Bank Sort Code/ SWIFT (BIC)/ ABA/Fedwire	
Beneficiary Bank Name	
Beneficiary Bank Address	
Beneficiary Bank Sort Code/ SWIFT (BIC)/ ABA/Fedwire	
Beneficiary Account Name	
Beneficiary Account Number	
Payment Type (please select)	MT202 <input type="checkbox"/> / MT103 <input type="checkbox"/>
Currency	
Reference	

Dividend Option (please tick)

Reinvest Option	automatic purchase of additional shares of the same class	
Cash option	pay all dividends to the bank account listed above	

Should this section not be completed, dividends will be automatically reinvested in additional shares

Return of Values (Investment Undertakings) Regulations 2013 – Irish residents only

Pursuant to the Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013) (the "Regulations"), the Company is required to collect certain information from non-Excepted Share Holders. All Applicants, whether individuals, bodies corporate or unincorporated bodies of persons, which are Irish resident or ordinarily resident should review the list of Excepted Share Holders set out below.

If the Applicant is Irish resident or non-Irish resident and is not an Excepted Share Holder, please provide the following information and documentations:

Tax Identification Number (TIN) / PPS Number: _____

Any one of the following additional documents is required to verify the TIN or PPS Number (either an original or a copy will suffice):

- P60
- P45
- P21 Balancing Statement
- Payslip (where employer is identified by name or tax number)
- Drug Payment Scheme Card
- Tax Assessment
- Tax Return Form
- PAYE Notice of Tax Credits
- Child Benefit Award Letter / Book
- Pension book
- Social Services Card
- Public Services Card

In addition, printed documentation issued by the Irish Revenue Commissioners or the Department of Social Protection which includes your name, address and tax reference number is also acceptable.

In the case of joint account holders, the additional documentation is required for each Applicant.

Your personal information will be handled by the Administrator, the Company or its duly appointed delegates as Data Processor for the Company in accordance with the Data Protection Acts 1988 to 2003. Information provided herein will be processed for the purposes of complying with the Regulations and this may include disclosure to the Irish Revenue Commissioners.

Excepted Share Holders

Share Holders

TCA 1997 reference

The following entities will constitute Excepted Share Holders provided the Fund has obtained a duly completed appropriate declaration:

An investment undertaking	739D(6)(c)
An investment limited partnership	739D(6)(cc)
A pension scheme which is an exempt approved scheme	739D(6)a)
A company carrying on a life assurance business	739D(6)(b)
A special investment scheme	739D(6)(d)
A unit trust to which section 731(5) applies	739D(6)(e)
A charity	739D(6)(f)(i)
ARFs, AMRFs	739D(6)(h)
A qualifying fund manager	739D(6)(g)
A qualifying savings manager	739D(6)(g)
PRSA providers	739D(6)(i)
The National Pensions Reserve Fund	739D(6)(l)
The National Asset Management Agency	739D(6)(ka)
A Section 110 Company	739D(6)m)
A Credit Union	739D(6)(j)
An Irish resident company but only where the Fund is a money market fund	739D(6)(k)
A non-Irish resident Shareholder in respect of whom the Fund has obtained a completed non-resident declaration or where the Fund has adopted the Equivalent Measures Regime	739D(7) 739D(7B)
A Shareholder who holds their Shares in a recognised clearing system	739B

Data Protection/Privacy notice

Introduction

The purpose of this document is to provide you with information on our use of your personal data in accordance with the EU data protection regime introduced by the General Data Protection Regulation (Regulation 2016/679, the "Data Protection Legislation"). In this document, "we", "us" and "our" refer to the Company and its affiliates and delegates.

Who this affects

If you are an individual investor, this will affect you directly. If you are an institutional investor that provides us with personal data on individuals connected to you for any reason in relation to your investment with us, this will be relevant for those individuals and you should transmit this document to such individuals or otherwise advise them of its content.

Your personal data

By virtue of making an investment in the Company and your associated interactions with us (including the initial application, and including the recording of electronic communications or phone calls where applicable) or by virtue of you otherwise providing us with personal information on individuals connected with you as an investor (for example directors, trustees, employees, representatives, shareholders, investors, clients, beneficial owners or agents), you will provide us with certain personal information which constitutes personal data within the meaning of the Data Protection Legislation. We may also obtain personal data on you from other public sources.

This includes the following information relating to you and/or any individuals connected with you as an investor: name, residential address, email address, contact details, corporate contact information, signature, nationality, place of birth, date of birth, tax identification, credit history, correspondence records, passport number, bank account details, source of funds details and details relating to your investment activity.

How we may use your personal data

The Company, as the data controller, may collect, store and use your personal data for lawful purposes disclosed below:

- (i) to reflect your ownership of shares in the relevant Fund (i.e. where this is necessary for the performance of the contract to purchase shares in the Fund or to process redemption, conversion, transfer and additional subscription requests or the payment of distributions);
 - (ii) to discharge our anti-money laundering obligation to verify the identity of our customers (and, if applicable their beneficial owners) or for prevention of fraud or for regulatory or tax reporting purposes or in response to legal requests or requests from regulatory authorities (i.e. where this is necessary for compliance with a legal obligation to which we are subject); and/or
 - (iii) for direct marketing purposes (that is, us providing you with information on products and services) or for quality control, business and statistical analysis or for tracking fees and costs or for customer service, training and related purposes (i.e. where this is necessary for the purposes of the legitimate interests of us or a third party and such legitimate interests are not overridden by your interests, fundamental rights or freedoms and provided that we are acting in a fair, transparent and accountable manner and have taken appropriate steps to prevent such activity having any unwarranted impact on you and also noting your right to object to such uses, as discussed below).
- Should you wish to use your personal data for other specific purposes (including if applicable any purpose that requires your consent) we will contact you.

Why we may transfer your personal data

In certain circumstances we and/or our authorised delegates may be legally obliged to share your data and other financial information with respect to your interest in the relevant Fund with the Irish Revenue Commissioners and they, in turn, may exchange this information with foreign tax authorities including tax authorities located outside the EEA.

We anticipate that the following affiliates and delegates may process your personal data on our behalf and this may include certain entities located outside the EEA:

- the Administrator. State Street Fund Services Ireland Ltd;
- the investment managers appointed in respect of each sub-fund of the Fund; and
- the Fund's distributor Fidante Partners Europe Limited; and their respective affiliates.

The data protection measures we take

Any transfer of personal data by us or any of our duly authorised delegates outside the EEA, which will include the United States and Australia, shall be subject to appropriate safeguards being in place to ensure that your personal data will remain adequately protected in accordance with the conditions in the Data Protection Legislation. Please contact us if you wish to obtain more information on the appropriate safeguards.

We and our duly authorised delegates shall apply appropriate information security measures designed to protect data in our/our delegates' possession from unauthorised access by third parties or any form of computer corruption.

We shall notify you of any personal data breach affecting you that is likely to result in a high risk to your rights and freedoms.

Your data protection rights

You have certain rights regarding our use of your personal data summarised as follows:

- the right to access your data (in an easily readable form);
- the right to examine and correct your data;
- the right to data portability;
- the right to restrict the use of your data;
- the right to withdraw any consent given to the processing of your data (where applicable);
- the right to receive information regarding any entities we disclose your data to;
- the right to lodge a complaint with the Data Protection Commission (our lead supervisory authority).

You also have the right to object to the processing of your data where we have considered this to be necessary for the purposes of our legitimate interests.

Please note that the right for your data to be erased (the "right to be forgotten") that applies in some contexts is not likely to be applicable to most, if not all, of the personal data we hold, given the specific nature of the purposes for which we use the data, as described above.

Our retention of your personal data

We or our duly authorised delegates may retain your personal data for a period of up to seven years following your disinvestment from the relevant Fund or the point where your business relationship with us has ceased. Thereafter, we and our duly authorised affiliates and delegates will refrain from collecting any further personal data on you and shall take appropriate steps to dispose of any records containing your personal data, to the extent this is operationally feasible and proportionate.

Getting in touch

The Company is not required to designate a data protection officer. However, should you have any queries or wish to discuss your data protection rights with us, please contact

Privacy Officer
Fidante Partners
Dataprotection@fidante.com
+44 207 832 090

Council Directive 2003/48/EC on taxation of savings income in the form of interest payments (the "Directive")

In the event that you elect to invest in a Fund of the Company, either initially or at a later date, the provisions of the Directive may apply to your investment.

The Directive imposes requirements on Administrators to collect certain information from investors who have invested in schemes or sub-funds that have, as its main investment policy, the investment in debt securities. The Directive will apply to paying agents in an EU Member State who make certain interest payments to individuals and certain other "residual entities" (but excluding in general terms legal persons and those subject to business taxation, proof of eligibility to avail of any exclusion may be required) within another EU Member State. In accordance with the stated investment objective of a Fund, it is possible that it may be sufficiently invested in debt claims for the Directive to apply on redemptions and/or distributions.

Therefore, if redemption proceeds and/or distributions of a Fund are deemed to include interest for these purposes it will be necessary for the Administrator to collect certain information about relevant payees which would be passed to the appropriate EU tax authority, together with details concerning the redemption/distributions.

Accordingly, in order to enable us to comply with our requirements under the Directive, please also furnish us with your tax identification number or in the absence of such a number, confirmation of place of birth and documentary verification of same e.g. duly certified passport or official ID if it confirms the number /place of birth or certificate of residence for tax.

Tax Identification Number or Place of Birth of First Applicant:

Tax Identification Number or Place of Birth of Second Applicant:

We can confirm that such information obtained by us pursuant to the Directive will only be applied in order to enable us to fulfil our obligations under the Directive and for no other purpose.

Politically Exposed Persons

Please complete EITHER Section A or B below, with reference to the definitions below

A Politically-Exposed Person ("PEP") is an individual who has at any time in the preceding 12 months been, entrusted with a prominent public function. This includes the following individuals (but excluding any middle ranking or more junior official):

- a "specified official";
- a member of the administrative, management or supervisory body of a state-owned enterprise;

A "specified official" is (including any such officials in an institution of the European Communities or an international body):

- a. a head of state, head of government, government minister or deputy or assistant government minister;
- b. a member of a parliament;
- c. a member of a supreme court, constitutional court or other high level judicial body whose decisions, other than in exceptional circumstances, are not subject to further appeal;
- d. a member of a court of auditors or of the board of a central bank;
- e. an ambassador, chargé d'affaires or high-ranking officer in the armed forces

An immediate family member of a PEP includes any of the following persons:

- a. any spouse of the PEP;
- b. any person who is considered to be equivalent to a spouse of the PEP under the national or other law of the place where the person or PEP resides;
- c. any child of the PEP;
- d. any spouse of a child of the PEP;
- e. any person considered to be equivalent to a spouse of a child of the PEP under the national or other law of the place where the person or child resides;
- f. any parent of the PEP;
- g. any other family member of the PEP who is of a class prescribed by the Minister for Justice under section 37(11) of the Act.

A close associate of a PEP includes any of the following persons

- a. any individual who has joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with the PEP;
- b. any individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the PEP.

As an example, a “beneficial owner” of a body corporate is any individual who (other than a company having securities listed on a regulated market):

- a. ultimately owns or controls, whether through direct or indirect ownership or control (including through bearer shareholdings), more than 25 per cent of the shares or voting rights of the body; or
- b. otherwise exercises control over the management of the body.

SECTION A: TO BE COMPLETED ONLY IF THE PEP RULES APPLY WITH REFERENCE TO THE ABOVE DEFINITIONS
the application is being made by a PEP / immediate family member of a PEP / close associate of a PEP
OR
the applicant has a beneficial owner who is a PEP / immediate family member of a PEP / close associate of a PEP
OR
the application is being made for the benefit of a PEP / immediate family member of a PEP / close associate of a PEP
OR
it is intended to transfer the shares to a PEP / immediate family member of a PEP / close associate of a PEP

Name of PEP		Address of PEP	
Office of PEP		Relationship of Applicant or Applicant’s Beneficial Owner to PEP	

Source of Wealth of the Applicant (e.g. Income from employment, Income from company business, inheritance, etc)

SECTION B: PLEASE TICK BOX BELOW IF THE PEP DO NOT APPLY RULES APPLY WITH REFERENCE TO THE DEFINITIONS ABOVE:

I/we confirm that the application is NOT being made by a PEP / immediate family member of a PEP / close associate of a PEP
AND
the applicant does not have a beneficial owner who is a PEP / immediate family member of a PEP / close associate of a PEP
AND
the application is NOT being made for the benefit of a PEP / immediate family member of a PEP / close associate of a PEP
AND
it is NOT intended to transfer the shares to a PEP / immediate family member of a PEP / close associate of a PEP

US Person Confirmation

Please complete the section below to confirm you are not a US Person. The Fund is not available to US Persons

I/We confirm that I am/we are not a US Person (as defined in the Prospectus) and am/are not acquiring Shares in the Fund on behalf of, or for the benefit of, a US Person, nor do I/we intend to transfer any Shares which I/we may purchase to any US Person. I/we further represent that I/we are not an ERISA plan (as such term is defined in the Prospectus). I/we: (i) represent

that I/we will not resell, reoffer or transfer any Shares or any interest therein, except with the consent of the Company, to a U.S. Person or ERISA plan (such terms are defined in the Prospectus); (ii) acknowledge that reoffers, resales or any transfer of the Shares may be made only in compliance with applicable securities laws ; and (iii) understand that a transfer of Shares may only be effected on the books of the Company and acknowledge that the Shares shall be transferable only to eligible investors

Personal Portfolio Investment Undertaking (PPIU)

*I/We confirm that *I am/we are an Irish Resident or Irish Ordinary Resident who is a director or has a relationship with a director of the Fund.

Please enter name(s) of PPIU _____

[Delete* as appropriate](#)

Key Investor Information Document (KIID) / Packaged Retail and Insurance-based Investment Products (PRIIPs) (For UCITS and/or retail investors)

By signing this Application Form, I/we acknowledge that *I/we have viewed, reviewed and understood the KIID/PRIIPs applicable to the relevant Share class(es) to which this Application Form relates in good time and prior to making an application for Shares of such Class(es).

I/we also acknowledge that I/we* will read further potential issuances of applicable KIID/PRIIPs before any subsequent investment that I/we* wish to make in the relevant shares of such Class(es).

We hereby acknowledge and agree that the updated KIID for each share class is available from the Platform Manager's website at www.fidante.com/ucits and that I/we will read and review the most up-to-date version of the relevant KIID/PRIIPs prior to making any subsequent application for shares.

I/We are aware that copies of the Prospectus, Supplement, latest annual and semi-annual reports, KIID/PRIIPs and other information are available from the Platform Manager's website at www.fidante.com/ucits and may also be obtained from the Administrator, using the details set out above. I/We give full consent to receiving this information and the Prospectus, latest annual and semi-annual reports and KIID/PRIIPs by means of electronic communication.

Where I/we are investing as nominee, we shall provide our client(s) with the KIID/PRIIPs issued in respect of the relevant Share class and we shall ensure that we do so in compliance with Commission Regulation (EU) No. 583/2010, as amended from time to time or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. We shall maintain records of our provision of the KIID to our clients and shall furnish such records to the Company, or its delegates, upon request.

Whenever I/we* act as an intermediary, I/we* hereby undertake that I/we" will provide the KIID/PRIIPs for the respective share classes to my/our* Clients in good time before any subscription in the relevant shares of such Class(es).

*Delete * as appropriate*

Alternatively, check this box if you are not resident in the United Kingdom or the European Union and hence a KIID/PRIIPs is not required

Declarations and Signatures

I/We, having received and considered a copy of the Prospectus (including the relevant Supplement) that is current as at the date of this application hereby confirm that this application is based solely on the current Prospectus and the material contracts

therein referred to together (where applicable) with the most recent annual report or semi-annual report and accounts of the relevant Fund.

I/we certify, represent and warrant that the information provided in this Application Form are complete, true and accurate and may be relied upon by the Company and the Administrator.

I/we certify, represent and warrant that I am/we are duly authorised to sign this Application Form and to subscribe for, switch or redeem Shares in the above authorised share classes and that by virtue of my/our subscribing for, holding or redeeming Shares I/we will not be in breach of (i) any of the certifications, representations and warranties contained in this Application Form; (ii) any requirements contained in the Prospectus; and (iii) any laws or regulations of any competent jurisdiction. I/we hereby indemnify the Company, Investment Manager, Management Company, Custodian, Administrator and other Shareholders for any loss suffered by them as a result of any certifications, representations or warranties contained in this Application Form not being true in every respect. I/we acknowledge that capitalised terms used in the Application Form shall have the same meaning as defined terms in the Prospectus unless otherwise provided for in the Application Form

I/We undertake to observe and be bound by the provisions of the Certificate of Incorporation of the Company (as amended from time to time) and apply for the Shares issued in relation to this application to be entered in the register of Shareholders of the relevant Fund in my/our name(s) (or in the name of a nominee or agent).

I am a/We are eligible investor(s) as set out in the Prospectus.

I/We agree to provide the declaration and warranties contained herein to the Company and at such times as the Company may request such certifications, documents or other evidence as the Company may reasonably require to substantiate such representations. I/we agree to notify the Company immediately if I/we become aware that any of the declarations and warranties contained herein is/are no longer accurate and complete in all respects.

I/We acknowledge that, owing to anti-money laundering requirements operating within their respective jurisdictions, the Company, its Directors, the Administrator, the Management Company, the Investment Manager and any distributor which may be appointed (as the case may be) may require further identification of the applicant(s) before the application can be processed and the Company, its Directors, the Administrator, the Management Company, the Investment Manager and any distributor which may be appointed shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been requested by the parties referred to and has not been provided by me/us.

I/We hereby authorise the Administrator to accept and execute any instructions, (including but not limited to any instructions regarding subscriptions, switches, transfers or redemptions of Shares or any payment in relation to same or otherwise) in respect of Shares to which this application relates, given by me/us in written form, by facsimile or by electronic means. I/We hereby agree to indemnify each of the Administrator and the Company and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of either or them acting upon instructions given in written form by me/us, i.e. facsimile, confirmed by me/us in writing. The Administrator and the Company may rely conclusively upon, and shall incur no liability in respect of, any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

I/We consent to any notice or other document to be sent by the Company or the Administrator to me/us as a Shareholder, by electronic means including but not limited to e-mail, swift or posting such notice or other document on a website notified to me/us by post or by e-mail.

I/We confirm that I/we have the capacity and am/are duly authorised to complete this form and to make the representations and give the indemnities referred to herein.

I/We agree to provide these representations to the Company and its Directors at such times as either of them may request and to provide on request such certifications, documents or other evidence as the Company and/or its Directors may reasonably require to substantiate such representations.

If I am/We are acting as trustee, agent, representative or nominee for a subscriber ("Beneficial Owner"), I/we understand and acknowledge that I/we make the representations, warranties and certifications made herein: (i) with respect to myself/ourselves; and (ii) with respect to the Beneficial Owner. I/we represent and warrant that I/we have all requisite power and authority from said Beneficial Owner to execute and perform the obligations under this Application Form.

I/We direct that on the death of one of us the Shares for which we hereby apply will be held in the name of and to the order of the survivor(s) of us or the executors and administrators of such survivor (applicable to natural persons only).

I/We acknowledge that we are advised to read in detail the Privacy Notice set out above. This document provides an outline of my/our data protection rights and the Company's and its duly authorised delegates' data protection obligations.

The Company and the Administrator reserve the right to seek evidence of identity to comply with applicable money laundering regulations. In such case of delay or failure to provide satisfactory, truthful or accurate information, the Company and the

Administrator may take such action as they see fit. The Administrator and the Company shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been required by the parties referred to has not been provided by me/us.

I/We acknowledge that the entry into this Application Form constitutes, and the exercise by me/us of my/our rights and performance of my/our obligations under this Application Form will constitute, private and commercial acts performed for private and commercial purposes; and I/we will not be entitled to claim immunity from suit, execution, attachment or other legal process in any proceedings taken in relation to this Application Form.

I/We represent and warrant that I/we have disclosed the existence of any Politically Exposed Persons ("PEPs") or Immediate Family Members of PEPs (both as defined below) within its ownership structure, and I/we agree to notify the Administrator and a Fund as soon as possible upon becoming aware that any PEPs or Immediate Family Members of PEPs have joined its direct or indirect ownership structure.

I/We hereby represent and warrant that I/we have disclosed to the Administrator and a Fund any actual or potential Exposure (as defined below) to any of the jurisdictions referred to the prospectus, which may be amended from time to time. I/we agree and undertake to notify the Administrator and a Fund promptly should my/our Exposure change. "Exposure" means any charitable, commercial, corporate, or otherwise economic presence, benefit or arrangement located in or deriving from any of the Jurisdictions including, amongst others: charitable or commercial activities and operations; commercial dealings and contracts; investments; holdings, memberships or participations, whether direct or indirect, in locally incorporated entities or partnerships; or (in the case of natural persons who are either citizens, nationals or residents of or in any of the Jurisdictions) any economic rights or beneficial ownerships connected to any of the foregoing jurisdictions.

I am/We are fully informed as to the legal and tax requirements within my/our own country (or countries) regarding the purchase of the Shares.

I/We agree to indemnify the Company for any loss, costs or expenses incurred directly or indirectly by the Company as a result my/our failure to pay for Shares applied for by the due date set forth in the Prospectus and the Supplement. I/we understand that if I/we fail to pay for Shares applied for by the due date set forth in the Prospectus and the Supplement the relevant allotment of Shares may be cancelled and I/we may be required to compensate the Company.

(In respect of joint shareholdings only). We direct that on the death of one of us, the Shares for which we hereby apply be held in the name of and to the order of the survivor (s) of us or the executor or administrator of such survivor(s)

I/ We understand that the representations and warranties made herein are continuous and all subsequent subscriptions of Shares in the Fund by me/us shall be governed by them, and I/we agree to notify the Company or the Administrator immediately, if any representation or warranty are no longer accurate and to abide by any directions from the Company or the Administrator arising as a result.

I/We confirm that being a person they I am/ We are over 18 years of age.

I/We hereby certify that I am/we are aware of the risks involved in the proposed investment as set out in the Prospectus.

I/We confirm that I am/we are in agreement with the distribution policy as outlined in the Prospectus.

I/We declare that the information contained in the declarations completed above is true and correct.

Declaration of Residence Outside the Republic of Ireland

Applicants resident outside the Republic of Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.

Terms used in this declaration are defined in the Prospectus. Please select either A or B

A - Declaration on Own Behalf

- i. I/ We* declare that I am/we* are applying for the Shares on my own/our own behalf/on behalf of a company* and that I am/we are/the company* is entitled to the Shares in respect of which this declaration is made and that I am/we are/the company* is not currently an Irish Resident or Irish Ordinary Resident, and should I/we/the company* become an Irish Resident, I/we will so inform you, in writing, accordingly.

*Delete as appropriate

B - Declaration as Intermediary

- i. I/ We* declare that I am/we are* applying for Shares on behalf of persons who will be beneficially entitled to the Shares, and who to the best of my/our* knowledge and belief, are neither an Irish Resident or Irish Ordinary Resident, and
- ii. I/ we* also declare that unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons; and I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* hold Shares, becomes an Irish Resident.

*Delete as appropriate

Alternative to Declaration of Residence Outside the Republic of Ireland

*A - In the case of Applicants applying for shares on the Applicant's own behalf only

I/We acknowledge that I am/we are hereby obliged to notify the Company or an agent of the Company appointed for this purpose, as the case may be, in writing if I am/we are or I/we become resident or ordinarily resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the 3 preceding years of assessment (i.e. calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the 3 preceding years of assessment.

*B - In the case of Applicants applying for shares on behalf of another person

I/We acknowledge that I am/we are hereby obliged to notify in writing the Company or an agent of the Company appointed for this purpose, as the case may be, if I am/we are, or I/we become, aware that any person who is beneficially entitled to any of those shares may be resident or ordinarily resident in Ireland or may have become resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the 3 preceding years of assessment (i.e. calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the 3 preceding years of assessment.

***Please select either A or B**

AUTHORISATION

I/We agree to be bound by the Declarations, Representations, Consents and Indemnities set out in this Application Form

Signature (1)

Capacity of Authorised Signatory

Name Authorised Signatory (1)

Signature (2)

Capacity of Authorised Signatory

Name Authorised Signatory (2)

Signature (3) _____

Capacity of Authorised Signatory _____

Name Authorised Signatory (3) _____

Signature (4) _____

Capacity of Authorised Signatory _____

Name Authorised Signatory (4) _____

Date _____

Important Information
<p>Non resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.</p> <p>To be valid, this application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant and in the case of joint applicants, each must sign. In the case of a corporation, the application must be signed by authorised signatories as agreed in the corporate signing mandate.</p> <p>If the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.</p> <p>Applicants who are resident or ordinarily resident in the Republic of Ireland or are an Exempt Irish resident as defined in the Prospectus, please contact the Administrator immediately.</p>

Ultimate Beneficial Ownership

Ultimate Beneficial Owners are individuals who directly, or indirectly, hold ownership or control of 25 per cent or more of the shares or voting rights in an entity, or otherwise exercise control over the management of the entity. The definition of Beneficial Owner is set out below.

The measures the Administrator should take to comply with its Customer Due Diligence obligations will depend on how the customer or the investor (where the investor is not the customer) comes to the relevant Fund. This can be achieved by asking investors to declare, when they first apply to join the relevant Fund, whether they are investing on their own behalf or whether they are an intermediary investing on someone else's behalf .

Please tick one of the options below that describes your role:

- a natural or legal person who is directly purchasing units of or shares in the fund on their own account, and not on behalf of other, underlying investors; or
- a firm that, as part of its economic activity, is directly purchasing units of or shares in its own name and exercises control over the investment for the ultimate benefit of one or more third parties who do not control the investment or investment decisions; or
- a firm (for example: a financial intermediary) that acts in its own name and is the registered owner of the shares or units but acts on the account of, and pursuant to specific instructions from, one or more third parties (e.g. because the financial intermediary is a nominee, broker, multi-client pooled account/omnibus type account operator or operator of a similar passive-type arrangement); or
- a firm's customer, for example a financial intermediary's customer, where the firm is not the registered owner of the shares or units (e.g. because the investment fund uses a financial intermediary to distribute fund shares or units, and the investor purchases units or shares through the firm and the firm does not become the legal owner of the units or shares).

Beneficial Ownership:

A beneficial owner as any natural person(s) who ultimately owns or controls the customer and/or the natural person(s) on whose behalf a transaction or activity is being conducted. Citi is obliged to identify and in some instances verify beneficial owners .

Please tick one of the below boxes and complete the relevant section:

- We confirm there is or are one or more natural person(s) who is a beneficial owner of the investor. (Please complete Section 1 below of this declaration).
- We confirm there is no natural person(s) who is a beneficial owner of the investor. (Please complete Section 2 of this declaration).

Section 1: Natural person(s) who is a beneficial owner of the investor

Beneficial Owner 1 (please complete in block capitals)

Full Name	
Identification Number or Passport Number	
Occupation	
Date of Birth	
Place of Birth	
Nationalities	
Percentage Ownership	
Residential Address Line	
Post or ZIP Code	
Residential Address Country	

Beneficial Owner 2 (please complete in block capitals)

Full Name	
Identification Number or Passport Number	
Occupation	
Date of Birth	
Place of Birth	
Nationalities	
Percentage Ownership	
Residential Address Line	
Post or ZIP Code	
Residential Address Country	

Beneficial Owner 3 (please complete in block capitals)

Full Name	
Identification Number or Passport Number	
Occupation	
Date of Birth	
Place of Birth	
Nationalities	
Percentage Ownership	
Residential Address Line	
Post or ZIP Code	
Residential Address Country	

If needed, please add additional natural person(s) who are beneficial owners on a separate sheet

Section 2: No natural person(s) who is a beneficial owner of the investor

In the event where no natural person falls under the above scenario, the senior managing official(s) of the subscribing entity will be recorded as the Beneficial Owner.

In completing the below, we confirm we have read and understood the above definition and we have provided below details of a senior managing officials who should be recorded as the Beneficial Owner(s).

Senior Managing Official 1 (please complete in block capitals)

Full Name	
Role	
Identification Number or Passport Number	
Occupation	
Date of Birth	
Place of Birth	
Nationalities	
Percentage Ownership	
Residential Address Line 1	
Post or ZIP Code	
Residential Address Country	

Senior Managing Official 2 (please complete in block capitals)

Full Name	
Role	
Identification Number or Passport Number	
Occupation	
Date of Birth	
Place of Birth	
Nationalities	
Percentage Ownership	
Residential Address Line 1	
Post or ZIP Code	
Residential Address Country	

Senior Managing Official 3 (please complete in block capitals)

Full Name	
Role	
Identification Number or Passport Number	
Occupation	
Date of Birth	
Place of Birth	
Nationalities	
Percentage Ownership	
Residential Address Line 1	
Post or ZIP Code	
Residential Address Country	

Definition of Beneficial Owner:

Sections 26 to 30 of the Criminal Justice Act 2010 define a beneficial owner as any natural person(s) who ultimately owns or controls the customer and/or the natural person(s) on whose behalf a transaction or activity is being conducted and includes at least: .

(a) in the case of corporate entities:

(i) the natural person(s) who ultimately owns or controls a legal entity through direct or indirect ownership of a sufficient percentage of the shares or voting rights or ownership interest in that entity, including through bearer shareholdings, or through control via other means, other than a company listed on a regulated market that is subject to disclosure requirements consistent with Union law or subject to equivalent international standards which ensure adequate transparency of ownership information.

A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a natural person shall be an indication of direct ownership. A shareholding of 25 % plus one share or an ownership interest of more than 25 % in the customer held by a corporate entity, which is under the control of a natural person(s), or by multiple corporate entities, which are under the control of the same natural person(s), shall be an indication of indirect ownership

(ii) if, after having exhausted all possible means and provided there are no grounds for suspicion, no person under point (i) is identified, or if there is any doubt that the person(s) identified are the beneficial owner(s), the natural person(s) who hold the position of senior managing official(s), the obliged entities shall keep records of the actions taken in order to identify the beneficial ownership under point (i) and this point;

(b) in the case of partnerships: means any individual who—

(a) ultimately is entitled to or controls, whether the entitlement or control is direct or indirect, more than a 25 per cent share of the capital or profits of the partnership or more than 25 per cent of the voting rights in the partnership, or (b) otherwise exercises control

(c) in the case of trusts: means any of the following—

- (1)
 - (a) any individual who is entitled to a vested interest in possession, remainder or reversion, whether or not the interest is defeasible, in the capital of the trust property;
 - (b) in the case of a trust other than one that is set up or operates entirely for the benefit of individuals referred to in paragraph (a), the class of individuals in whose main interest the trust is set up or operates;
 - (c) any individual who has control over the trust.
 - (d) the settlor;
 - (e) the trustee;
 - (f) the protector.

(2) For the purposes of and without prejudice to the generality of subsection (1), an individual who is the beneficial owner of a body corporate that— (a) is entitled to a vested interest of the kind referred to in subsection (1) (a), or (b) has control over the trust, is taken to be entitled to the vested interest or to have control over the trust (as the case may be).

(3) Except as provided by subsection (4), in this section "control", in relation to a trust, means a power (whether exercisable alone, jointly with another person or with the consent of another person) under the trust instrument concerned or by law to do any of the following: (a) dispose of, advance, lend, invest, pay or apply trust property; (b) vary the trust; (c) add or remove a person as a beneficiary or to or from a class of beneficiaries; (d) appoint or remove trustees; (e) direct, withhold consent to or veto the exercise of any power referred to in paragraphs (a) to (d).

(4) For the purposes of the definition of "control" in subsection (3), an individual does not have control solely as a result of the power exercisable collectively at common law to vary or extinguish a trust where the beneficiaries under the trust are at least 18 years of age, have full capacity and (taken together) are absolutely entitled to the property to which the trust applies.

(c) in relation to the estate of a deceased person in the course of administration, means the executor or administrator of the estate concerned.

(d) (1) in relation to a legal entity or legal arrangement, other than where the paragraphs above apply, means—
(a) if the individuals who benefit from the entity or arrangement have been determined, any individual who benefits from the property of the entity or arrangement,
(b) if the individuals who benefit from the entity or arrangement have yet to be determined, the class of such individuals in whose main interest the entity or arrangement is set up or operates, and
(c) any individual who exercises control over the property of the entity or arrangement.
(d) any person holding a position, in relation to the legal entity or legal arrangement that is similar or equivalent to the position specified in paragraphs (d) to (f) of section 28(2) in relation to a trust.

(2) For the purposes of and without prejudice to the generality of subsection (1), any individual who is the beneficial owner of a body corporate that benefits from or exercises control over the property of the entity or arrangement is taken to benefit from or exercise control over the property of the entity or arrangement.

(3) In this Part, "beneficial owner", in relation to a case other than a case to which section 26, (a), (b) or (c), or subsection (1) of this section, applies, means any individual who ultimately owns or controls a customer or on whose behalf a transaction is conducted.

Note:

Trade will, not be placed, until the Ultimate Beneficial Owners have been confirmed.

Please return this form duly completed with the Application Form

Please ensure the form is fully completed and, in the case of a corporate entity, please sign in accordance with the authorised signatory list.

Signature One; _____

Signature Two; _____

Signed by; _____

Signed by; _____

Date: _____

Date: _____

ANTI-MONEY LAUNDERING (KNOW YOUR CUSTOMER REQUIREMENTS) AND ECONOMIC SANCTIONS OBLIGATIONS

Under Irish legislation and supplemental Guidance covering anti-money laundering, economic sanctions and the taxation of savings the Company and the Administrator are required to obtain the following documentation to verify the identity of all new applicants. This documentation should be provided with the application form. The documentation listed below may not cover all applications and the Company and the Administrator reserve the right to request additional documentation if required.

Should documents be provided in a language other than English, an English translation may be required.

Please note that the application may not be accepted until the Administrator is in receipt of all required anti-money laundering documentation, the original Application Form and the original banking details for the settlement of the redemption proceeds. In any event, redemption and dividend payments will not be processed until full anti-money laundering documentation has been received on the account and the Company and the Administrator reserve the right to take further action where full anti-money laundering documentation has not been received.

Documentation which may be required for all Retail Applicants

1. Personal Verification¹ - ONE official photographic document (Certified²)
2. Address Verification³ - TWO different address verification documents (Original or Certified)
3. Source of Funds Verification
4. Source of Wealth Verification

Documentation which may be required for Designated Bodies in certain Countries

1. Confirmation of Name and Address
2. Confirmation of Regulatory Body
3. Original Authorised Signatory List
4. Original Letter of Assurance (where investing as an intermediary*)
5. Original / certified Declaration of Beneficial Owner(s) form.

*Additional information / documentation may be required subject to intermediary risk assessment, such as information on their AML/CTF policies and the nature of the intermediaries business.

Intermediaries from non EU / EEA countries will require an enhanced level of due diligence and further information or documentation, including information on underlying shareholders may also be required.

Documentation which may be required for Non Designated Bodies

1. Confirmation of name, office address, principal business address and registered number
2. Confirmation of Regulatory Body (if applicable)
3. Certificate of Incorporation or Certificate to Trade (Certified)
4. Memorandum and Articles of Association (Certified)
5. Latest audited Financial Statements (Certified)
6. Nature and purpose of the entity
7. List of Directors to include full name, dates of birth, occupation, residential and business addresses
8. Authorised Mandate or Board Resolution to establish the business relationship
9. Original Authorised Signatory List
10. ONE personal verification and TWO address verification documents for at least TWO directors **OR** ONE director and ONE authorised signatory (original or certified)
11. Verify the identity of all beneficial owners beneficially entitled to more than 25% of the entity's share capital or voting rights or otherwise exercises control over the management of the entity (if no beneficial owner holds more than 25%, verification of this is required (eg share register))
12. Original / certified Declaration of Beneficial Owner(s) form.

¹ Acceptable Personal Verification documents are a certified copy of a Passport or a Driver's License or National Identity Card. The certified documents must be in date, show a picture of the person, full name, date of birth and signature of the person.

² Verification documents must be *certified* by a suitable person/entity, such as; the Companies Registration Office (or the equivalent in the investors jurisdiction) with regard to incorporation documentation, a notary public, a police officer, an embassy/consular official, a chartered or certified public accountant, a practicing solicitor, any Designated Body. Documents should be stamped with the official stamp of the person, dated and signed by that person.

³ Acceptable Address Verification documents are any TWO of the following: electricity bill, gas bill, water bill, telephone bill, cable television bill, bank statement or credit card statement, social insurance documents, household/motor insurance certificates. Documents must originate from a different source, show the full name and residential address of the applicant and must be dated within 6 months of submission.

Documentation which may be required for Pension Fund Accounts in certain Countries

1. Confirmation of name and address
2. Pension Scheme Rules
3. Constitutional / Formation Document (eg: Trust Deed)
4. Confirmation of registration from the relevant tax authorities or Pensions Board
5. Verification of the principal employer (if applicable)
6. Name, address and dates of birth of the officers/board members/trustees/directors/governors or equivalent
7. Identity verification for TWO officers/board members/trustees/directors/governors or equivalent
8. Evidence that the person representing the Pension Scheme is empowered to act (Certified)
9. Original Authorised signatory list
10. Original / certified Declaration of Beneficial Owner(s) form

Documentation which may be required for a Nominee Company

1. Confirmation of Name and Address of Nominee Company
2. Original Authorised Signatory List for the Nominee Account
3. Confirmation of Name and Address of Parent of Nominee
4. Confirmation of Regulatory Body of Parent of Nominee
5. Original Authorised Signatory List of Parent
6. Proof of regulation (nominee / parent)
7. Original Letter of Assurance from Parent
8. Original / certified Declaration of Beneficial Owner(s) form

Documentation which may be required for a Trust/Foundation/Charity

1. Confirmation of name, registered & principal business address
2. Evidence of charitable status (if applicable)
3. Constitutional / Formation document (eg: Trust Deed or equivalent)
4. Nature and purpose
5. ONE Personal Verification and TWO Address Verification documents for at least TWO trustees/directors/governors/board members or ONE trustee/director/governor/board member and ONE authorised signatory (original / certified)
6. ONE Personal Verification and TWO Address Verification documents for any settler (where appropriate)
7. ONE Personal Verification and TWO Address Verification documents for all beneficiaries
8. Original Authorised Signatory List
9. Verify the identity of all SMO's if there is no natural person who owns more than 25%

Please note that the Administrator can only accept Application Forms from an entity that has legal capacity to enter into contracts on its own right and may require the constitutive document to legitimate legal status.

AML CONFIRMATION FOR NOMINEES / INTERMEDIARIES

This confirmation can only be completed by designated bodies regulated for anti money-laundering purposes by the appropriate regulator in one of the following countries: Australia, Austria, Belgium, Canada, Denmark, Finland, France, Germany, Guernsey, Hong Kong, Iceland, Ireland, Italy, Jersey, Luxembourg, Isle of Man, Netherlands, Norway, Portugal, Singapore, Spain, Sweden, Switzerland, United Kingdom, United States (please note this list is subject to change).

DESCRIPTION OF INVESTOR	<input type="checkbox"/> DESIGNATED BODY INVESTING AS AN INTERMEDIARY <input type="checkbox"/> NOMINEE COMPANY
--------------------------------	---

RELATIONSHIP OF CONFIRMATION PROVIDER (REGULATED ENTITY) TO INVESTOR	<input type="checkbox"/> INVESTOR <input type="checkbox"/> PARENT OF INVESTOR
---	--

UNLESS THE FOLLOWING BOX IS TICKED THE ADMINISTRATOR WILL APPLY THE BELOW CONFIRMATION TO ANY SUBSEQUENT DESIGNATED ACCOUNTS IN THE NAME OF THIS INVESTOR.

DO NOT APPLY THE BELOW CONFIRMATION TO SUBSEQUENT DESIGNATIONS

Name of Regulated Entity _____

Name of Regulator _____

Address of Regulated Entity _____

We confirm that we are a designated body regulated for anti money-laundering purposes by the below regulator and hereby confirm the following in connection to the investor

1. We / the investor* has performed the anti-money laundering and counter-terrorist financing identification for any parties on whose behalf the investor is purchasing shares (“underlying investors”).
2. The evidence we / the investor* has obtained to verify the identity of the underlying investors, and where appropriate, their beneficial shareholders, meets the requirements of our national anti-money laundering and counter-terrorist financing legislation and regulations.
3. We confirm that all documents and information, which we / the investor* may have on our / the investor’s* files relating to the identity of each underlying investor will be sent to a competent authority upon the competent authority’s request.
4. We confirm that all documents and information, which we / the investor* may have on our / the investor’s files relating to the identity of each underlying investor will be sent to the Administrator of the Company as soon as practicable upon its request.
5. We / the investor* will retain these documents and information for a period of at least 5 years after the relationship with an underlying investor has ended.
6. We / the investor* will take measures to ensure that the underlying investors are neither individuals nor institutions against whom sanctions have been imposed by the EU or United Nations or persons or entities that are included on the List of Specially Designated Nationals and Blocked Persons maintained by the U.S. Treasury’s Department’s Office of Foreign Asset Control (“OFAC”).

Yours faithfully

Name: _____

Title: _____
Authorised Signatory of Regulated Entity.

This should be signed by the MLRO, a Director or an authorised member of the Compliance Department or Legal Department (please state position).

Delete * as applicable

Entity Self-Certification for FATCA and CRS

Instructions for completion and Data Protection notice.

We are obliged under Section 891E, Section 891F, and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this application form you are providing personal information, which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They in turn may exchange this information, and other financial information with foreign tax authorities, including tax authorities located outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information on FATCA or CRS please refer to the Irish Revenue or the OECD website at:

<http://www.revenue.ie/en/business/aeoi/index.html>

<http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

Account holders that are Individuals or Controlling Persons should not complete this form and should complete the form entitled "Individual (including Controlling Persons) Self-Certification for FATCA and CRS".

*(Mandatory fields are marked with an *)*

Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification for FATCA and CRS".

*Section 1: Account Holder Identification

*Account Holder Name:_(the "Entity")

Country of Incorporation or Organisation: _____

*Current Registered Address:

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number _____ Street: _____

City, town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

***Section 2: FATCA Declaration Specified U.S Person:**

Please tick either (a), (b) or (c) below and complete as appropriate.

The Entity is a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

U.S. TIN: _____

Or

The Entity is **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5)

Or

The Entity is a US person but **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5)

***Section 3: Entity's FATCA Classification** (the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5):

3.1 Financial Institutions under FATCA:

If the Entity is a Financial Institution, please tick one of the below categories and provide the Entity's GIIN at 3.2 or indicate at 3.3 the reason why you are unable to provide a GIIN.

- I. *Irish Financial Institution or a Partner Jurisdiction Financial Institution*
- II. *Registered Deemed Compliant Foreign Financial Institution*
- III. *Participating Foreign Financial Institution*

3.2 Please provide the Entity's *Global Intermediary Identification number (GIIN)* _____

3.3 If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

- I. The Entity has not yet obtained a *GIIN* but is sponsored by another entity which does have a *GIIN*∞ Please provide the sponsor's name and sponsor's *GIIN* :
Sponsor's Name: _____ Sponsor's *GIIN*: _____

∞**NOTE:** this option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions. Sponsored Investment Entities that do not have U.S. reportable accounts are not required to register and obtain a *GIIN* with the IRS unless and until U.S. reportable accounts are identified.

- II. **The Entity is an Exempt Beneficial Owner,**
Please tick and confirm the category of Exempt Beneficial Owner;
 - I. Government Entity
 - II. International Organisation
 - III. Foreign Central Bank
 - IV. Exempt Retirement Fund
 - V. Collective Investment Vehicle Wholly Owned by Exempt Beneficial Owners.

III. Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)

IV. Non-Participating Foreign Financial Institution

V. Excepted Foreign Financial Institution
Indicate exemption: _____

VI. The Entity is a Trustee Documented Trust. Please provide your Trustee's name and *GIIN*
Trustee's Name: _____
Trustee's *GIIN*: _____

3.4 Non-Financial Institutions (Non-Financial Foreign Entity/NFFE) under FATCA:

If the Entity is not a Financial Institution, please confirm the Entity's FATCA classification below by ticking one of the below categories

I. *Active Non-Financial Foreign Entity (NFFE)*

II. *Passive Non-Financial Foreign Entity (NFFE)*

Passive Non-Financial Foreign Entity with no Controlling Persons that are specified U.S Persons.

Passive Non-Foreign Financial Entity with Controlling Persons that are specified U.S Persons. (If this is ticked, please include self-certification forms for each of your Controlling Persons)

III. *Excepted Non-Financial Foreign Entity (NFFE)*

IV. *Direct Reporting Non-Financial Foreign Entity (NFFE)*

Please provide your GINN: _____

***Section 4: Common Reporting Standard ("CRS") Declaration of Tax**

(Note that Entities may have more than one country of Tax Residence)

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")). Please refer to the OECD CRS Web Portal for AEOI for more information on Tax Residence.

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located]

NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

a) You are tax resident in a Jurisdiction that does not issue a (TIN)

Or

b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	∞If TIN unavailable Select (A, B or C) below

∞If a TIN is unavailable, please provide the appropriate reason **A**, **B** or **C** where indicated below:

Reason A - The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents

Reason B - The Account Holder is otherwise unable to obtain a TIN (Please explain why you are unable to obtain a TIN if you selected Reason B)

Reason C - No TIN is required. (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

***Section 5: Entity's CRS Classification**

(The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3 above). In addition please note that the information that the Entity has to provide may differ depending on whether they are resident in a participating or non-participating CRS Jurisdiction. For more information please see the OECD CRS Standard and associated commentary.
<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

5.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution*, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies **and** specify the type of Financial Institution below.

Note: Please check the Irish Revenue AEOI portal at the time of completion of this form to confirm whether your country of Tax Jurisdiction is considered Participating or Non-Participating for the purposes of CRS Due-Diligence in Ireland.
<http://www.revenue.ie/en/business/aeoi/participating-jurisdictions.pdf>

I. **A Reporting Financial Institution resident in a participating CRS jurisdiction**

II. **A Financial Institution Resident in a Non-Participating Jurisdiction**

An Investment Entity resident in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity in section 6 below and complete a separate individual self-certification forms for each of your Controlling Persons“)

An Investment Entity resident in a Non-Participating Jurisdiction that is not managed by another Financial Institution

Other Financial Institution, including a Depository Financial Institution, Custodial Institution or Specified Insurance Company

III. **Non-Reporting Financial Institution under CRS.**

Specify the type of Non-Reporting Financial Institution below:

Governmental Entity

International Organization

Central Bank

Broad Participation Retirement Fund

Narrow Participation Retirement Fund

Pension Fund of a Governmental Entity, International Organization, or Central Bank

Exempt Collective Investment Vehicle

Trust whose trustee reports all required information with respect to all CRS Reportable Accounts

Qualified Credit Card Issuer

Other Entity defined under the domestic law as low risk of being used to evade tax.

Specify the type provided in the domestic law:

5.2 Non Financial Institutions under CRS:

If the Entity is a *not defined as a Financial Institution under CRS then*, please tick one of the below categories confirming if you are an Active or Passive NFE.

I. **Active Non-Financial Entity (NFE)** – a corporation the stock of which is regularly traded on an established securities market.

Please provide the name if the established securities market on which the corporation is regularly traded: _____

- II. **Active Non-Financial Entity (NFE)** – if you are a Related Entity of a regularly traded corporation.
Please provide the name of the regularly traded corporation that the Entity is a Related Entity of:

Please provide details of the securities market that the entity is listed on :

- III. **Active Non-Financial Entity (NFE)** – a Government Entity or Central Bank

- IV. **Active Non-Financial Entity (NFE)** – an International Organisation

- V. **Active Non-Financial Entity (NFE)** – other than those listed in I, II, III or IV above.
(for example a start-up NFE or a non-profit NFE)

- VI. **Passive Non-Financial Entity (NFE)**- (if this box is ticked, please also complete Section 6.1 below and indicate the name of all natural Controlling Person(s) of the Entity and complete a separate Individual Self-Certification Form for each of your Controlling Person(s)

** Section 6: Controlling Persons

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form.

If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Name of All Controlling Person(s) of the Account Holder:

If you have ticked sections 5.1 VI above, then please complete section 6.1 and 6.2 below:

6.1 Indicate the name of all Controlling Person(s) of the Account Holder:

I.	
II.	
III.	

Note: In case of a trust, Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the beneficiary (ies) or class(es) of beneficiary(ies), **AND** any other natural person(s) exercising ultimate effective control over the trust. With respect to an Entity that is a legal person, if there are no natural person(s) who exercise control over the Entity, then the Controlling Person will be the natural person who holds the position of senior managing official of the Entity.

6.2 Complete a separate Individual (Controlling Person's) Self-Certification for FATCA and CRS for each Controlling Person listed in Section 6.1.

***Section 7: Declarations and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstance (for guidance refer to Irish Revenue or OECD website) occurs which causes any of the information contained in this form to be incorrect.

***Authorised Signature(s):**

***Print Name(s):**

***Capacity in which declaration is made:**

***Date: (dd/mm/yyyy):** _____

Individual (Controlling Person's) Self-Certification for FATCA and CRS

Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each account holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this form you are providing personal information which may constitute personal data within the meaning of the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the Irish tax authorities, the Revenue Commissioners. They may in turn exchange this information, and other financial information with foreign tax authorities, including tax authorities outside the EU.

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity account holder which is a Passive Non-Financial Entity, or a Controlling Person of an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

For further guidance see.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

*(Mandatory fields are marked with an *)*

***Section 1: Investor Identification**

* Account Holder / Controlling Person Name: _____

***Current Residential Address:**

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

*Place Of Birth

*Town or City of Birth: _____ *Country of Birth: _____

*Date of Birth: _____

***Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes:**

Please tick either (a) or (b) and complete as appropriate.

(a) I confirm that [I am]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

(b) I confirm that [I am not]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

***Section 3: Common Reporting Standard (CRS) Declaration of Tax Residency/Residencies**

(please confirm all Tax Residencies)

Please indicate your country of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TINs")). For further guidance please refer to the OECD CRS Information Portal (<http://www.oecd.org/tax/automatic-exchange/>).

NOTE: Under the Irish legislation implementing the CRS, provision of a Tax ID number (TIN) is required to be provided unless:

- a) You are tax resident in a Jurisdiction that does not issue a (TIN),
- or,
- b) You are tax resident in a non-reportable Jurisdiction (i.e. Ireland or the USA)

Country of Tax Residency	Tax ID Number	∞If TIN unavailable Select (A, B or C) below

∞If a TIN is unavailable, please provide the appropriate reason A, B or C where indicated below:

- Reason A** - The country/jurisdiction where the Account Holder is resident does not issue TINs or TIN equivalents to its residents
- Reason B** - No TIN is required. (Note: Only select this reason if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)
- Reason C** - The Account Holder is otherwise unable to obtain a TIN (Please explain why you are unable to obtain a TIN if you selected Reason C)

Section 4 – Type of Controlling Person

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box. **Please Tick** **Entity Name**

- Controlling Person of a legal person – control by ownership
- Controlling Person of a legal person – control by other means
- Controlling Person of a legal person – senior managing official
- Controlling Person of a trust - settlor
- Controlling Person of a trust – trustee
- Controlling Person of a trust – protector
- Controlling Person of a trust – beneficiary
- Controlling Person of a trust – other
- Controlling Person of a legal arrangement (non-trust) – settlor-equivalent
- Controlling Person of a legal arrangement (non-trust) – trustee-equivalent
- Controlling Person of a legal arrangement (non-trust) – protector-equivalent
- Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent

Controlling Person of a legal arrangement (non-trust) – other-equivalent

***Section 5: Declaration and Undertakings:**

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Data Protection - Customer Information Notice :

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/>

And on the Irish Revenue website - <http://www.revenue.ie/en/business/aeoi/>

*Authorised Signature: _____

*Print Name: _____

*Date: (dd/mm/yyyy): _____

*Capacity: _____