

Application Form

Institutional/Wholesale Investors

Issued by Fidante Partners Limited (ABN 94 002 835 592, AFSL 234668) and Fidante Partners Services Limited (ABN 44 119 605 373, AFSL 320505), each referred to as 'Fidante' in this form.

Dated 17 October 2022

Use this application form if you are a Wholesale Investor (as defined by the Corporations Act 2001(Cth)).

To qualify as a Wholesale Investor you must satisfy one of the criteria below.

Please indicate (X) which one applies.

- ☐ I am investing at least \$500,000
- ▶ Please proceed to **Section 1** of the application form.

OR

- ☐ I am investing under \$500,000 and have a Wholesale Client Certificate (refer below) from an accountant confirming I meet the general wholesale test for assets and/or income
- ▶ Please proceed to **Section 1** of the application form.

If you do not meet one of the above criteria, please contact our Fidante Investor Services Team on 1300 721 637.

This Application form can only be used by the following types of investors:

- The Wholesale Client Certificate must not be more than two years old.
- If the Wholesale Client Certificate is for a self managed superannuation fund, it must certify the wholesale status of any individual trustees controlling the fund.

Customer identification

If you are a new investor, you are also required to complete the Customer Identification Form. The form is available on our website **fidante.com** or by calling our Investor Services Team on 1300 721 637.

Checklist

Before sending us your application, please ensure you have:

- Completed this Application Form in full.
- For new investments, completed the relevant 'Identity Verification Form' available on our website **fidante.com**.
- Attached supporting documentation that confirms your Wholesale Investor status; and
- Read the declaration and provided all relevant signatures.

Contact details

Mail your completed application form and identity verification documents to:

Fidante
GPO Box 3993
Sydney NSW 2001 (no stamp required)

If you have any questions regarding this form please contact our Investor Services Team on 1300 721 637.

Application Form

Institutional/Wholesale Investors

PLEASE USE BLOCK LETTERS AND BLACK INK TO COMPLETE THIS FORM



1 Investment details

Please indicate (X) if this is a new investment or an additional investment.

☐ New investment ► Please proceed to **section 2**.

☐ Additional investment

Existing account name

Existing account number

Please proceed to **section 6**. If you provide any information in any other section, this will override any previous information provided.

2 Entity type

Please indicate (X) the investor type.

☐ Super Fund – also complete 'Identity Verification Form – Australian Company/Trust/Superannuation Fund'

☐ Trust – also complete 'Identity Verification Form – Australian Company/Trust/Superannuation Fund'

☐ Australian company – also complete 'Identity Verification Form – Australian Company/Trust/Superannuation Fund'

☐ Other – contact our Investor Team on 1300 721 637 for other Identity Verification Forms.

3 Investor name

Name of the Australian Company, Superannuation Fund or other Trust.

4 Account designation (if applicable)

Provide the name of the person for whom the investment is being made (if applicable). Please note we do not accept investments from people under 18 years of age; however, investments may be designated on their behalf.

Surname

Full given name(s)

Title (Mr/Mrs/Miss/Ms)

We are only required to act on instructions from the investors listed in **section 3**. Fidante is not bound to take any notice of any interest of any person listed in **section 4**.

5 Contact details

Please provide details of where you would like all correspondence mailed and your contact details.

Contact person	<input type="text"/>				
Unit	<input type="text"/>	Street number	<input type="text"/>	PO Box	<input type="text"/>
Street name	<input type="text"/>				
Suburb	<input type="text"/>	State	<input type="text"/>	Postcode	<input type="text"/>
Country	<input type="text"/>				
Phone (after hours)	<input type="text"/>	<input type="text"/>	Phone (business hours)	<input type="text"/>	<input type="text"/>
Mobile	<input type="text"/>	Facsimile	<input type="text"/>		
Email address	<input type="text"/>				

6 Source of funds (Required)

Please indicate (X) the source of funds being invested.

- ☐ Income from business operations
- ☐ Investment income
- ☐ Member contributions
- ☐ Sale of assets (e.g. shares, property)
- ☐ Borrowed funds
- ☐ Charitable donations

7 Investment allocation

Please nominate the fund and the amount you wish to invest. Please transfer the amount to the correct account (depending on the responsible entity of the fund(s)).

- Funds for which Fidante Partners Limited is responsible entity for:
Bank account name: Fidante Partners Limited
BSB: 032 - 006
A/C: 304845
- Funds for which Fidante Partners Services Limited is responsible entity for:
Bank account name: Fidante Partners Services Limited
BSB: 032 - 006
A/C: 454747

	Investment option(s)	Investment amount
1.	<input type="text"/>	\$ <input type="text"/>
	<input type="text"/>	\$ <input type="text"/>
2.	<input type="text"/>	\$ <input type="text"/>
	<input type="text"/>	\$ <input type="text"/>
3.	<input type="text"/>	\$ <input type="text"/>
	<input type="text"/>	\$ <input type="text"/>
4.	<input type="text"/>	\$ <input type="text"/>
	<input type="text"/>	\$ <input type="text"/>
	Total	\$ <input type="text"/>

8 Income distributions

Please indicate how you would like your income distributions to be paid by crossing (X) one box only. If this is a new investment and no nomination is made, distributions will be reinvested. A nomination in this section overrides any previous nominations. There may be periods in which no distribution is payable, or we may make interim distributions. We do not guarantee any particular level of distribution.

- ☐ Please reinvest my/our income distributions into the fund(s).
- ☐ Please credit my/our nominated bank account provided in **section 11** with my/our income distributions.

9 Annual Report

A copy of the annual report for the Fund(s) will be provided on the Fidante website **fidante.com**.

- ☐ Please cross (X) this box if you wish to receive a paper copy of the annual report(s) for the fund(s) in which you are invested. If you choose to have an annual report mailed to you, it will be mailed to the address provided in section 4 or your current address on file for existing investors. For additional investments, a nomination in this section overrides any previous nominations.

10 Wholesale Investor status

- ☐ By ticking the box, I/we confirm that I am a/we are Wholesale Investor(s) as defined under Chapter 7 of the Corporations Act 2001 (Cth) and I/we have attached the required certification documentation to support this statement.

11 Financial institution account details

Please provide your account details in this section if you wish us to credit your accessible Australian financial institution with your income distribution.

Financial institution

Branch

Account name

Branch number (BSB)

–

Account number

By providing your bank details in this section you authorise Fidante to use these details for all future transaction requests that you nominate.

12 Declaration and applicant(s) signature(s)

Please read the declarations below before submitting this application.

I/We declare that:

- I/we have received and accepted this offer in Australia;
- all details in this application (including all related documents provided) are true and correct and I/we indemnify the responsible entity of the fund(s) I/we am/are investing in against any liabilities arising from acting on any of the details or any future details provided by me/us in connection with this application which are deliberately false or misleading;
- I/we have received a copy of the current PDS and TMD and all information incorporated into the PDS to which this application applies and have read them and agree to the terms contained in them and to be bound by the provisions of the current PDS (including the incorporated information) and current constitution (each as amended from time to time);
- If I/we have received this PDS from the internet or other electronic means, that I/we have received it personally or a print out of it, accompanied by or linked to this application form;
- I/we have legal power to invest in accordance with this application and have complied with all applicable laws in making this application;
- unless alternative authority is notified to and accepted by Fidante, the person/persons that has/have completed the application is/are authorised to operate the account on behalf of the investor and bind the investor for future transactions, including in respect of additional applications and withdrawals;
- the details of my/our investment can be provided to the adviser group or adviser named in this application;
- if investing as trustee, on behalf of a superannuation fund or trust I/we confirm that I/we am/are acting in accordance with my/ our designated powers and authority under the trust deed. In the case of superannuation funds, I/we also confirm that it is a complying fund under the Superannuation Industry (Supervision) Act 1993;
- in the case of superannuation funds with two or more trustees, the trustees agree that unless otherwise expressly indicated on this application form, either investor is able to operate the account and bind the other investor/s for future transactions, including additional deposits and withdrawals (including withdrawals by fax);
- if the application is made under Power of Attorney, the Attorney declares that he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with the application unless we have already sighted it);
- I/we have read and understood BoardRoom's Terms of Use for the use of the online service and release and indemnify Fidante from and against any liabilities whatsoever arising out of it acting on any communications received by BoardRoom under those terms or in connection with the use of the online service;
- By providing my email and/or mobile number, I agree to be notified of and receive important disclosure documents and communications electronically (which include via email, SMS, a link to a website, an application or other online channels);
- I/We agree that any notice, document or other information required to be given to me/us under law (or the agreement with you), including but not limited to Product Disclosure Statements, Financial Services Guides, periodic statements, confirmations of transactions and ongoing disclosures, may be given in one of the following ways (where permitted by law): (i) by sending it to an email address I/we have provided for me/us or my/our adviser; (ii) by sending me/us or my/our adviser an email or other electronic communication providing a website reference or hypertext link to the notice, document or information; or (iii) by making the notice, document or information available on the website or an application or other online facilities as notified to me/us or my/our adviser from time to time.
- I/we acknowledge that none of Fidante, Challenger Limited, or any other member of the Challenger group of companies or any custodian, registry or investment manager, guarantees the performance of the Fund(s) or the repayment of capital or any particular rate of return or any distribution;
- Fidante is not an authorised deposit-taking institution (ADI) for the purpose of the Banking Act 1959 (Cth), and its obligations do not represent deposits or liabilities of an ADI in the Challenger Group (Challenger ADI) and no Challenger ADI provides a guarantee, or otherwise provides assurance in respect of the obligations of Fidante. Investments in the Fund(s) are subject to investment risk, including possible delays in repayment and loss of income or principal invested. Accordingly, the performance, the repayment of capital or any particular rate of return on your investments are not guaranteed by any member of the Challenger Group;
- The Responsible Entity accepts no responsibility for any failure to receive application amounts or payments before or after the transaction date arising as a result of, among other things, processing of payments by financial institutions.

In relation to my/our personal information:

- I/we acknowledge that I/we have read and understood the information relating to privacy and personal information contained in the relevant PDS and Additional Information Booklet (AIB);
- I am/We are aware that until I/we inform Fidante otherwise, I/we will be taken to have consented to all the uses of my/our personal information (including marketing) as described in the PDS and AIB and I/we have consented to my/our financial adviser providing such further personal information to Fidante as is required or reasonably deemed necessary by Fidante under applicable law. Any personal information provided about a third party (if any) in connection with this application has been provided with their knowledge and informed consent;
- I/we understand that if I/we fail to provide any information requested in this application form or do not agree to any of the possible uses or disclosures of my/our information as detailed in the PDS and AIB (except in relation to direct marketing), my/our application may not be accepted by Fidante and I/we agree to release and indemnify Fidante in respect of any loss or liability arising from its inability to accept an application due to inadequate or incorrect details having been provided.

12 Declaration and applicant(s) signature(s) (continued)

Refer to list of signatories in section 13.

Signatory 1

Signature

Date

Surname

Given name(s)

Capacity

☐

Director

☐

Company Secretary

☐

Primary Trustee (Individual)

Signatory 2

Signature

Date

Surname

Given name(s)

Capacity

☐

Director

☐

Company Secretary

☐

Secondary Trustee (Individual)

COMPANY SEAL

Important notes

Fidante may in its absolute discretion refuse any application for units. Persons external to Fidante or other entities who market Fidante products are not agents of Fidante but are independent investment advisers. Fidante will not be bound by representations or statements which are not contained in information disseminated by Fidante.

Fidante Partners Limited (ABN 94 002 835 592, AFSL 234668).

Fidante Partners Services Limited (ABN 44 119 605 373, AFSL 320505).

13 Signatories

The table below provides guidance on completing the 'Declaration and applicant(s) signature's section of the application form. Before signing the application form please ensure you have read the declaration.

Type of investor	Names required	Signature required	TFN/ABN or exemption to be provided
Australian company	<ul style="list-style-type: none"> i. Full company name as registered with ASIC; and ii. Name of each director of the company; and iii. Name of each beneficial owner where their holding is 25% or greater. 	<ul style="list-style-type: none"> i. Sole director's; or ii. Two directors'; or iii. One director's and company secretary's 	Company's
Trust/Superannuation fund If you are investing on behalf of a superannuation fund, we will assume the superannuation fund to be a complying fund under the Superannuation Industry (Supervision) Act.	<ul style="list-style-type: none"> i. Full trust/superannuation fund name (e.g. Michael Smith Pty Ltd ATF Michael Smith Pty Ltd Super Fund); and ii. Full name of the trustee(s) in respect of the trust/super fund (either individual(s) or business name). Please note, if any of the trustees are an Australian company, all information in the 'Australian company' section must also be completed; and iii. Names of beneficiaries (if identified in Trust Deed). 	Individual trustee(s) 'as trustee for' If any of the trustees are an Australian company, the signatures set out in the 'Australian company' section are also required.	Superannuation fund's or trust's
Account designation	Name(s) of the responsible adult(s), as the Investor.	Adult(s) investing on behalf of the person/minor	Adult(s)
If the investment is being made under Power of Attorney (POA) Please ensure an original certified copy of the POA is attached to the application form. Each page of the POA must be certified.	<ul style="list-style-type: none"> i. Full name of each Investor(s) (as listed in section 3); and ii. Full name of person holding POA (underneath signature). 	Person holding Power of Attorney In the case that the POA document does not contain a sample of the POA's (i.e. Attorney's) signature, please provide a certified copy of either the POA's driver's licence or passport containing a sample of their signature.	Individual Investor; or each Joint Investor.